



PATENT  
Docket No. 150.01100101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gundu M. Sabde ) Group Art Unit: 3723  
Serial No.: 10/028,616 ) Examiner: Shantese L. McDonald  
Confirmation No.: 6755 )  
Filed: December 21, 2001 )  
For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING  
SURFACES USING A FIXED ABRASIVE ARTICLE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the continuing duty of candor and good faith that is to be demonstrated before the United States Patent and Trademark Office (USPTO), enclosed are copies of two Written Opinions and one International Preliminary Examination Report from the related PCT Application Number US02/40406. Also enclosed herewith are copies of documents which Applicant brings to the Examiner's attention as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Supplemental Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form is respectfully requested. Pursuant to the provisions of MPEP §609, Applicant further requests that a copy of the 1449 form, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Applicant also wishes to bring the Examiner's attention to the following pending U.S. Applications, as well as any documents, Office Actions that may include rejections of similar claims, and any provisional U.S. patent applications referenced in the pending U.S. applications or in their file wrappers. A copy of each of the below-listed pending U.S. Patent Applications is provided herewith.

**Supplemental Information Disclosure Statement**

Page 2 of 2

Applicant(s): Gundu M. Sabde

Serial No.: 10/028,616

Confirmation No.: 6755

Filed: December 21, 2001

For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES USING A  
FIXED ABRASIVE ARTICLE**List of Pending Non-Published U.S. Patent Applications**

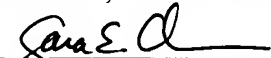
| Applicant(s)       | Application<br>Number | Filing Date      |
|--------------------|-----------------------|------------------|
| Brian A. Vaartstra | 10/771,050            | February 3, 2004 |
|                    |                       |                  |

Since this Information Disclosure Statement is submitted after the receipt of an Office Action in the above-identified patent application, please charge Deposit Account No. 13-4895 the fee of \$180 under 37 C.F.R. §§1.97(c) and 1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 13-4895.

The Examiner is invited to contact Applicant's Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

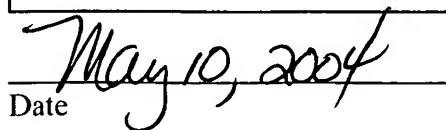
**CERTIFICATE UNDER 37 C.F.R. 1.10:**

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Sara E. OLSON

"Express Mail" mailing label number:  
EV 201890343 US  
Date of Deposit: May 10, 2004

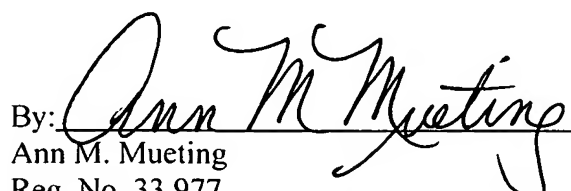
Date




Respectfully submitted for  
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|--|---|------------------------|
| <b>INFORMATION<br/>DISCLOSURE<br/>STATEMENT</b><br> | Atty. Docket No.: 150.01100101                                | Serial No.: 10/028,616 |
|  | Applicant(s): Sabde   | Confirmation No.: 6755 |
|  | Application Filing Date: December 21, 2001                    | Group: 3723            |
|  | Information Disclosure Statement mailed: May <u>10</u> , 2004 |                        |

## U.S. PATENT DOCUMENTS

| Examiner Initial | Copy Enclosed | Document Number | Date     | Name              | Class | Subclass | Filing Date If Appropriate |
|------------------|---------------|-----------------|----------|-------------------|-------|----------|----------------------------|
|                  | X             | 5,318,927       | 06/07/04 | Sandhu et al.     |       |          |                            |
|                  | X             | 6,527,818       | 03/04/03 | Hattori et al.    |       |          |                            |
|                  | X             | 6,730,592       | 05/04/04 | Vaartstra         |       |          |                            |
|                  | X             | 2002/0111026 A1 | 08/15/02 | Small et al.      |       |          |                            |
|                  | X             | 2002/0151177 A1 | 10/17/02 | Cherian et al.    |       |          |                            |
|                  | X             | 2002/0039839 A1 | 04/04/02 | Thomas et al.     |       |          |                            |
|                  | X             | 2003/0119316 A1 | 06/26/03 | Klein et al.      |       |          |                            |
|                  | X             | 2003/0119319 A1 | 06/26/03 | Sinha et al.      |       |          |                            |
|                  | X             | 2003/0119321 A1 | 06/26/03 | Uhlenbrock et al. |       |          |                            |

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## FOREIGN PATENT DOCUMENTS

| Examiner Initial | Copy Enclosed | Document Number | Date     | Country | Class | Subclass | Translation |    |
|------------------|---------------|-----------------|----------|---------|-------|----------|-------------|----|
|                  |               |                 |          |         |       |          | Yes         | No |
|                  | X             | WO 03/059571    | 07/24/03 | PCT     |       |          |             |    |
|                  | X             | WO 03/060980    | 07/24/03 | PCT     |       |          |             |    |
|                  | X             | WO 03/056620    | 07/10/03 | PCT     |       |          |             |    |
|                  | X             | WO 03/060028    | 07/24/03 | PCT     |       |          |             |    |

## OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

| Examiner Initial | Copy Enclosed | Document Description |
|------------------|---------------|----------------------|
|                  |               | None                 |
|                  |               |                      |

|   |                 |
|---|-----------------|
| EXAMINER  | Date Considered |
| <p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> |                 |